SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

ant to Castion 10(a) of the C --- -.

Instruc	tion 1(d).			F							ompany Act		934						
1. Name and Address of Reporting Person* <u>Matheos Mark</u>						2. Issuer Name and Ticker or Trading Symbol <u>APPIAN CORP</u> [APPN]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) 7950 JO		(First) (Middle) BRANCH DRIVE					3. Date of Earliest Transaction (Month/Day/Year) 05/10/2022								X Officer (give title Other (specify below) below) Chief Financial Officer				
(Street) MCLEAN VA 22102 (City) (State) (Zip)					4.	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(0.9)	()			on-Der	ivativ	ve Se	ecuriti	es Ac	auirea	l. Di	sposed o	f. or Ber	neficia	ly Owned					
1. Title of Security (Instr. 3) Date (Month/L					ar) i	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A Disposed Of (D) (Instr. 3,			5) Securiti Benefici Owned	5. Amount of Securities Beneficially Owned Following		: Direct I r Indirect I str. 4)	7. Nature of Indirect Beneficial Ownership		
									Code	v	Amount	(A) or (D)	Price		rted action(s) 3 and 4)			(Instr. 4)	
Class A Common Stock 05/10					0/2022	2022			М		961	Α	\$0 ⁽¹	9 9	961		D		
Class A Common Stock 05/10/2					0/2022	2022			S		260	D	\$54.47	\$54.47 ⁽²⁾ 70			D		
Class A Common Stock 05/10/2					0/2022	2022			S		701	D	\$ <mark>56</mark>		0		D		
Class A Common Stock 05/10/2					0/2022	2022			С		4,020	A	\$0 ⁽³⁾⁽	, 4,020		D			
Class A Common Stock 05/10/2					0/2022	2022		S		4,020 D \$		\$57.	5	0		D			
			Table II								oosed of, convertik			/ Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	ed n Date,	4. Transactic Code (Inst 8)		5. Number of Derivative		6. Date Exerci Expiration Da (Month/Day/Y		isable and ate	7. Title and Amount of Securities Underlying Derivative Securi (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio	e S Ily I	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercis	sable	Expiration Date	Title	Amoun or Number of Shares	1	(Instr. 4)	on(s)			
Restricted Stock Unit	(5)	05/10/2022			М			941	(6)		(6)	Class A Common Stock	941	\$0	2,823	3	D		
Restricted Stock Unit	(5)	05/10/2022			М			20	(7)		(7)	Class A Common Stock	20	\$0	20		D		
Employee Stock Option (Right to Buy)	\$12	05/10/2022			М			4,020	04/25/2	2022	04/25/2027	Class B Common Stock	4,020	\$0	0		D		

Class B Class A (3)(4) (3)(4) (3)(4) 4,020 05/10/2022 4.020 Common м Commor Stock Stock Class B Class A (3)(4) **C**⁽⁸⁾ (3)(4) (3)(4) 05/10/2022 4,020 4,020 Common Common Stock Stock

Explanation of Responses:

1. Each Restricted Stock Unit ("RSU") converts into Class A Common Stock on a one-for-one basis.

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$54.27 to \$54.47, inclusive. The Reporting Person undertakes to provide to Appian Corporation, any security holder of Appian Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

3. Each share of Class B Common Stock is convertible at any time at the option of the holder into one share of Class A Common Stock and has no expiration date. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon (1) any transfer, whether or not for value and whether voluntary or involuntary or by operation of law, except for certain transfers described in the Issuer's certificate of incorporation, including, without limitation, certain transfers for tax and estate planning purposes or (continued to Footnote (4))

4. (continued from Footnote (3)) (2) the death or disability, as defined in the Issuer's certificate of incorporation, of the applicable Class B common stockholder (or nine months after the date of death or disability if the stockholder is one of the Issuer's founders). In addition, on the first trading day following the date on which the outstanding shares of Class B Common Stock represent less than 10% of the aggregate voting power of the Issuer's then outstanding capital stock, all outstanding shares of Class B Common Stock shall convert automatically into Class A Common Stock, and no additional shares of Class B Common Stock will be issued.

5. Each RSU represents a contingent right to receive one share of Issuer's Class A Common Stock (or its cash equivalent, at the discretion of the Issuer).

6. The RSUs were granted on May 4, 2021 and vest in four equal annual installments commencing on May 5, 2022, provided that the Reporting Person has provided continuous service to the Issuer through the vesting date.

7. The RSUs were granted on April 27, 2018. 20 RSUs vested on May 5, 2022 and 20 RSUs will vest on May 5, 2023, provided that the Reporting Person has provided continuous service to the Issuer through the vesting date.

8. Pursuant to the terms of the Class B Common Stock, the Reporting Person converted shares of Class B Common Stock into shares of Class A Common Stock.

Remarks:

\$12

\$<mark>0</mark>

4.020

0

D

D

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

Know all by these presents, that the undersigned hereby constitutes and appoints each of Christopher Winters, Angela Patterson, Nicole Brookshire, Mark Ballantyne and Jason Minio, signing individually, the undersigned's true and lawful attorneys-in fact and agents to:

- (1) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer, director or beneficial owner of more than 10% of a registered class of securities of Appian Corporation, Forms 3, 4, and 5 (including any amendments thereto) in accordance with Section 16(a) of the Securities Exchange Act of 1934, as amended (the "Exchange Act") and the rules thereunder and a Form ID, Uniform Application for Access Codes to File on EDGAR;
- (2) do and perform any and all acts for and on behalf of the undersigned that may be necessary or desirable to execute such Forms 3, 4, or 5, or Form ID (including any amendments thereto) and timely file such forms with the United States Securities and Exchange Commission and any stock exchange or similar authority, including creating a new passphrase and generating new EDGAR access codes via the EDGAR filer manager website; and
- (3) take any other action of any nature whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is Appian Corporation assuming, any of the undersigned's responsibilities to comply with Section 16 of the Exchange Act.

This Power of Attorney shall remain in full force and effect until the earliest to occur of (a) the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by Appian Corporation, (b) revocation by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact or (c) as to any attorney-in-fact individually, until such attorney-infact is no longer employed by Appian Corporation or Cooley LLP.

The undersigned has caused this Power of Attorney to be executed as of December 31, 2021.

<u>/s/ Mark Matheos</u> Mark Matheos