SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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	OMB Number:	3235-0287								
	Estimated average burden									
	hours per response:	0.5								

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Footnote⁽¹⁵⁾

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b) \Box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

Instruction 1(b).		Filed p	oursuant to Section or Section 30(h) of	16(a) of	the S	ecurities Exc	hange A	ct of 1934			. 0.5		
1. Name and Address of Reporting Person* Biddle Albert G.W. III				2. Issuer Name and APPIAN CO	d Ticker	or Tra	ading Symbol	5	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner Officer (give title Other (specify below) below)					
(Last) C/O APPIAN	3. Date of Earliest - 07/01/2021	Transac	tion (N	Month/Day/Ye										
7950 JONES BRANCH DRIVE				4. If Amendment, D	Date of C	Drigina	al Filed (Mont		6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) MCLEAN	.02						X Form filed by One Reporting Person Form filed by More than One Reporting Person							
(City)	(State)	(Zip)											
		Table I	- Non-Derivat	ive Securities	Acqui	ired,	Dispose	d of, o	r Benefic	ially Owned				
1. Title of Securi	curity (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(11501 4)	(1130.4)		
Class A Comn	non Stock		07/01/2021		A ⁽¹⁾		226	A	\$ <mark>0</mark>	9,096	D			
Class A Comn	non Stock		07/01/2021		S ⁽²⁾		1,055	D	\$133.91	⁽³⁾ 95,445	I	See Footnote ⁽⁴		
Class A Comn	non Stock		07/01/2021		S ⁽²⁾		1,449	D	\$134.88	⁽⁵⁾ 93,996	I	See Footnote ⁽⁴		
Class A Comn	non Stock		07/01/2021		S ⁽²⁾		819	D	\$135.69	⁽⁶⁾ 93,177	I	See Footnote ⁽⁴		
Class A Comn	non Stock		07/01/2021		S ⁽²⁾		177	D	\$138.26	⁽⁷⁾ 93,000	Ι	See Footnote ⁽⁴		
Class A Comn	non Stock		07/01/2021		S ⁽²⁾		167	D	\$133.8 ⁽⁸	³⁾ 18,512	Ι	See Footnote ^{(!}		
Class A Comn	non Stock		07/01/2021		S ⁽²⁾		327	D	\$134.65 ⁽	¹⁰⁾ 18,185	I	See Footnote ^{(!}		
Class A Comn	non Stock		07/01/2021		S ⁽²⁾		253	D	\$135.64 ⁽	¹¹⁾ 17,932	I	See Footnote ^{(!}		
Class A Comn	non Stock		07/01/2021		S ⁽²⁾		43	D	\$137.46 ⁽	¹²⁾ 17,889	I	See Footnote ^{(!}		
Class A Comn	non Stock		07/01/2021		S ⁽²⁾		10	D	\$138.53 ⁽	¹³⁾ 17,879	I	See Footnote ^{(!}		
			3											

S⁽²⁾

S⁽²⁾

S⁽²⁾

s⁽²⁾

S⁽²⁾

S⁽²⁾

S⁽²⁾

S⁽²⁾

160

333

231

69

7

208

313

205

D

D

D

D

D

D

D

D

07/01/2021

07/01/2021

07/01/2021

07/01/2021

07/01/2021

07/01/2021

07/01/2021

07/01/2021

Class A Common Stock

Class A Common Stock

Class A Common Stock

Class A Common Stock

Class A Common Stock

Class A Common Stock

Class A Common Stock

Class A Common Stock

\$133.84(14)

\$134.71(16)

\$135.52⁽¹⁷⁾

\$137.27(18)

\$138.43

\$133.9⁽¹⁹⁾

 $$134.77^{(21)}$

\$135.65⁽²²⁾

18,519

18,186

17,955

17,886

17,879

18,471

18,158

17,953

1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	ate, Tr C	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indir Bene Own	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				C	Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)						
Class A Common Stock			07/01/2021		5	S ⁽²⁾		67	D	\$137.32(23)	17,886		I	See Foo	otnote ⁽²⁰⁾	
Class A (Common St	ock	07/01/2021		5	S ⁽²⁾		7	D	\$138.43	43 17,879		I	See Foo	otnote ⁽²⁰⁾	
		Tab	ole II - Derivativ (e.g., put	ve Securitio ts, calls, wa		•			,		-	d				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, if any	Transaction Code (Instr. 8)	5. Numbe of Derivative Securities Acquired (A) or Disposed	rivative (M curities quired or		Expiration Date e (Month/Day/Year) s		Ai Se Ui De Se	mount of ecurities	8. Price of Derivative Security (Instr. 5) Benefic Owned Followi Reporte		ies Form ially Dire or In ng (I) (II	ership : t (D)	11. Natur of Indired Beneficia Ownersh (Instr. 4)

Explanation of Responses:

1. These shares were granted under the Issuer's 2017 Equity Incentive Plan pursuant to the Issuer's Non-Employee Director Compensation Policy, as amended and approved by the Board of Directors on December 18, 2020.

Date

Exercisable

2. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on June 4, 2019, as amended on December 4, 2020.

(A) (D)

of (D) (Instr. 3, 4

and 5)

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$136.50 to \$137.47, inclusive. The Reporting Person undertakes to provide to Appian Corporation, any security holder of Appian Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnotes (3) through (31).

4. The reported securities are owned directly by Jack Biddle, Inc. ("JBI"). The Reporting Person is the president of JBI.

5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$133.35 to \$134.29, inclusive.

Code V

6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$134.41 to \$135.33, inclusive.

7. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$135.45 to \$136.00, inclusive.

8. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$137.94 to \$138.43, inclusive.

9. The reported securities are owned directly by a family trust established for the benefit of the Reporting Person's child ("Trust I"). The Reporting Person is the trustee of Trust I.

10. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$133.09 to \$134.03, inclusive.

11. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$134.19 to \$135.08, inclusive.

12. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$135.25 to \$136.18, inclusive.

13. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$137.43 to \$137.48, inclusive.

14. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$138.43 to \$138.75, inclusive.

15. The reported securities are owned directly by a family trust established for the benefit of the Reporting Person's child ("Trust II"). The Reporting Person is the trustee of Trust II.

16. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$133.25 to \$134.22, inclusive.

17. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$134.25 to \$135.22, inclusive. 18. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$135.25 to \$136.02, inclusive.

19. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$133.25 to \$134.19, inclusive.

20. The reported securities are owned directly by a family trust established for the benefit of the Reporting Person's child ("Trust III"). The Reporting Person is the trustee of Trust III.

21. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$134.25 to \$135.24, inclusive.

22. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$135.25 to \$136.17, inclusive.

23. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$137.07 to \$137.44, inclusive.

Remarks:

<u>/s/ Angela Patterson,</u> <u>Attorney-in-Fact</u>

Amount or Number

Shares

of

Title

Expiration

Date

<u>07/02/2021</u>

Transaction(s) (Instr. 4)

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.