SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1 I. Marie and Address of Reporting Ferson			2. Issuer Name and Ticker or Trading Symbol APPIAN CORP [APPN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Bluule Alb				X	Director	10% Owner		
	C/O APPIAN CORPORATION 7950 JONES BRANCH DRIVE		3. Date of Earliest Transaction (Month/Day/Year) 10/01/2021		Officer (give title below)	Other (specify below)		
7950 JOINES			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable				
(Street) MCLEAN	VA	22102		Line)	Form filed by One Re Form filed by More th Person			
(City)	(State)	(Zip)			Person			
	Ta	ble I - Non-Deriva	tive Securities Acquired, Disposed of, or Bene	ficially	Owned			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Disposed O	s Acquire f (D) (Ins	ed (A) or tr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Class A Common Stock	10/01/2021		A ⁽¹⁾		337	A	\$0	9,433	D	
Class A Common Stock	10/01/2021		S ⁽²⁾		600	D	\$89.78 ⁽³⁾	92,400	I	See Footnote ⁽⁴⁾
Class A Common Stock	10/01/2021		S ⁽²⁾		500	D	\$91.34 ⁽⁵⁾	91,900	I	See Footnote ⁽⁴⁾
Class A Common Stock	10/01/2021		S ⁽²⁾		1,246	D	\$93 ⁽⁶⁾	90,654	I	See Footnote ⁽⁴⁾
Class A Common Stock	10/01/2021		S ⁽²⁾		500	D	\$93.96 ⁽⁷⁾	90,154	I	See Footnote ⁽⁴⁾
Class A Common Stock	10/01/2021		S ⁽²⁾		654	D	\$94.95 ⁽⁸⁾	89,500	I	See Footnote ⁽⁴⁾
Class A Common Stock	10/01/2021		S ⁽²⁾		133	D	\$89.78 ⁽⁹⁾	17,746	I	See Footnote ⁽¹⁰
Class A Common Stock	10/01/2021		S ⁽²⁾		103	D	\$91.05(11)	17,643	I	See Footnote ⁽¹
Class A Common Stock	10/01/2021		S ⁽²⁾		70	D	\$92.05 ⁽¹²⁾	17,573	I	See Footnote ⁽¹⁰
Class A Common Stock	10/01/2021		S ⁽²⁾		228	D	\$93.14(13)	17,345	I	See Footnote ⁽¹⁾
Class A Common Stock	10/01/2021		S ⁽²⁾		106	D	\$ 94.1 ⁽¹⁴⁾	17,239	I	See Footnote ⁽¹⁾
Class A Common Stock	10/01/2021		S ⁽²⁾		160	D	\$ 94.93 ⁽¹⁵⁾	17,079	I	See Footnote ⁽¹⁾
Class A Common Stock	10/01/2021		S ⁽²⁾		137	D	\$89.84(16)	17,742	I	See Footnote ⁽¹
Class A Common Stock	10/01/2021		S ⁽²⁾		95	D	\$91.07(18)	17,647	I	See Footnote ⁽¹
Class A Common Stock	10/01/2021		S ⁽²⁾		82	D	\$ 92.13 ⁽¹⁹⁾	17,565	I	See Footnote ⁽¹
Class A Common Stock	10/01/2021		S ⁽²⁾		241	D	\$93.24 ⁽²⁰⁾	17,324	I	See Footnote ^{(1'}
Class A Common Stock	10/01/2021		S ⁽²⁾		186	D	\$94.53 ⁽²¹⁾	17,138	I	See Footnote ⁽¹⁷
Class A Common Stock	10/01/2021		S ⁽²⁾		59	D	\$95.13(22)	17,079	I	See Footnote ⁽¹⁷

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	v	Amount	(A) or (D) Price		Reported Transaction(s) (Instr. 3 and 4)				
Class A Common Stock	10/01/2021		S ⁽²⁾		96	D	\$89.69 ⁽²³⁾	17,783	Ι	See Footnote ⁽²⁴⁾		
Class A Common Stock	10/01/2021		S ⁽²⁾		135	D	\$90.94 ⁽²⁵⁾	17,648	Ι	See Footnote ⁽²⁴⁾		
Class A Common Stock	10/01/2021		S ⁽²⁾		82	D	\$ 92.13 ⁽²⁶⁾	17,566	I	See Footnote ⁽²⁴⁾		
Class A Common Stock	10/01/2021		S ⁽²⁾		246	D	\$93.22(27)	17,320	I	See Footnote ⁽²⁴⁾		
Class A Common Stock	10/01/2021		S ⁽²⁾		164	D	\$ 94.55 ⁽²⁸⁾	17,156	I	See Footnote ⁽²⁴⁾		
Class A Common Stock	10/01/2021		S ⁽²⁾		77	D	\$ 95.12 ⁽²⁹⁾	17,079	Ι	See Footnote ⁽²⁴⁾		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

										-					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr	5. Number 6. Date Exercisable and perivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Bate Exercisable and Expiration Date (Month/Day/Year) (Instr. 3, 4 and 5)		xpiration Date Amount of Nonth/Day/Year) Securities			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These shares were granted under the Issuer's 2017 Equity Incentive Plan pursuant to the Issuer's Non-Employee Director Compensation Policy, as amended and approved by the Board of Directors on December 18, 2020.

2. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on June 4, 2019, as amended on December 4, 2020.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$89.32 to \$90.02, inclusive. The Reporting Person undertakes to provide to Appian Corporation, any security holder of Appian Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnotes (3) through (29).

4. The reported securities are owned directly by Jack Biddle, Inc. ("JBI"). The Reporting Person is the president of JBI.

5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$90.99 to \$91.88, inclusive.

6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$92.51 to \$93.47, inclusive.

7. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$93.55 to \$94.23, inclusive.

8. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$94.68 to \$95.26, inclusive.

9. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$89.16 to \$90.10, inclusive.

10. The reported securities are owned directly by a family trust established for the benefit of the Reporting Person's child ("Trust I"). The Reporting Person is the trustee of Trust I.

11. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$90.55 to \$91.45, inclusive.

12. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$91.63 to \$92.48, inclusive.

13. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$92.66 to \$93.64, inclusive. 14. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$93.68 to \$94.45, inclusive.

15. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$94.69 to \$95.11, inclusive.

16. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$89.38 to \$90.29, inclusive.

17. The reported securities are owned directly by a family trust established for the benefit of the Reporting Person's child ("Trust II"). The Reporting Person is the trustee of Trust II.

18. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$90.66 to \$91.43, inclusive.

19. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$91.83 to \$92.66, inclusive.

20. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$92.98 to \$93.64, inclusive.

21. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$94.00 to \$94.98, inclusive.

22. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$95.00 to \$95.22, inclusive.

23. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$89.16 to \$90.02, inclusive.24. The reported securities are owned directly by a family trust established for the benefit of the Reporting Person's child ("Trust III"). The Reporting Person is the trustee of Trust III.

25. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$90.35 to \$91.30, inclusive.

26. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$91.73 to \$92.66, inclusive.

27. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$92.82 to \$93.68, inclusive.

28. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$94.06 to \$94.92, inclusive.

29. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$95.06 to \$95.22, inclusive.

Remarks:

<u>/s/ Angela Patterson,</u> <u>Attorney-in-Fact</u>

10/05/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.